



# Onelife Capital Advisors Limited

CIN: L74140MH2007PLC173660

Tel No.: 022-25833206 Fax: 022-41842228 Email id: cs@onelifecapital.in Web: www.onelifecapital.in

29 March 2025

To,

**BSE Limited**

Department of Corporate Services

Phiroze Jeejeebhoy Towers,

Dalal Street, Fort,

Mumbai - 400001.

**Scrip Code: 533632**

**National Stock Exchange of India Ltd**

Department of Corporate Services

Exchange Plaza,

Bandra- Kurla Complex

Mumbai- 400 051

**Symbol: ONELIFECAP**

**Sub: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sir/Madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company is required to disclose details of action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity as mentioned in Clause 20 of Para A of Part A of Schedule III of SEBI LODR. Accordingly,

- I. The SEBI Final Order No. WTM/AB/CFID-SEC2/30890/2024-25 dated March 28, 2025 states that:
- Onelife Capital Advisors Limited, Mr. Pandoo Naig & Mr. Prabhakara Naig restrained from accessing the securities market and further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of one year, from the date of interim order cum SCN i.e. October 21, 2024.
  - Mr. Pandoo Naig & Mr. Prabhakara Naig are restrained from being associated with the securities market, in any manner whatsoever, including as a director or Key Managerial Personnel in any listed company, except OCAL, or an intermediary registered with SEBI or a public company which intends to raise money from public in the securities market, for a period of one year, from the date of interim order cum SCN i.e. October 21, 2024.
  - Notices Nos. 1 to 9 of the Final Order are hereby imposed with monetary penalties as specified hereunder:

<b>Noticee No.</b>	<b>Name of Noticee</b>	<b>Provisions under which penalty imposed</b>	<b>Penalty Amount (INR)</b>
1.	Onelife Capital Advisors Limited	Section 15HA of the SEBI Act	INR 15,00,000/-(Rupees Fifteen Lakh)
		Section 15HB of the SEBI Act	INR 10,00,000/-(Rupees Ten Lakh)
2.	Pandoo Naig	Section 15HA of the SEBI Act	INR 15,00,000/-(Rupees Fifteen Lakh)
		Section 15HB of the SEBI Act	INR 10,00,000/-(Rupees Ten Lakh)
3.	Prabhakara Naig	Section 15HA of the SEBI Act	INR 15,00,000/-(Rupees Fifteen Lakh)



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		Section 15HB of the SEBI Act	INR 10,00,000/- (Rupees Ten Lakh)
4.	Manoj Malpani	Section 15HA of the SEBI Act	INR 7,00,000/- (Rupees Seven Lakh)
		Section 15HB of the SEBI Act	INR 3,00,000/- (Rupees Three Lakh)
5.	Ram Narayan Gupta	Section 15HB of the SEBI Act	INR 5,00,000/- (Rupees Five Lakh)
6.	Amol Shivaji Autade	Section 15HB of the SEBI Act	INR 5,00,000/- (Rupees Five Lakh)
7.	Sonam Satish Kumar Jain	Section 15HB of the SEBI Act	INR 5,00,000/- (Rupees Five Lakh)
8.	Dhananjay Chandrakant Parikh	Section 15HB of the SEBI Act	INR 3,00,000/- (Rupees Three Lakh)
9.	Gurunath Mudlapur	Section 15HB of the SEBI Act	INR 3,00,000/- (Rupees Three Lakh)

The details as required under Clause 20 of Part A of Schedule III of the SEBI Listing Regulations, read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-I/P/CIR/2023/123 dated July 13, 2023 are given under as Annexure — A.

The Board of Directors of the Company, during its meeting held on 29 March 2025, thoroughly reviewed the matter and addressed the concerns. The Board extensively discussed the follow up actions that would be required.

- II. On March 17, 2025, the Stock Exchanges (BSE and NSE) imposed a fine of Rs. 220000 on the Company due to a delay in the appointment of a Director to the Board, in violation of Regulation 17(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Upon notice, the Board of Directors took prompt and decisive corrective actions on. The Director was promptly appointed to the Board. The Board addressed that the delay was unintentional.

You are requested to take the above on record.

**For ONELIFE CAPITAL ADVISORS LIMITED**

**Kajal Shethia**  
**Company Secretary and Compliance Officer**  
**A72895**



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## ANNEXURE A

### Requisite details pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Sr. No.	Particulars	Details
1	Name of the Authority	Securities and Exchange Board of India ("SEBI")
2	Nature and details of the actions, initiated or order(s) passed	Final Order issued by SEBI: WTM/KV/CFID/CFID-CORD/31338/2024-25 dated March 28, 2025
3	Date of receipt of direction or order including any ad-interim or interim orders, or any other communication from the authority	<ul style="list-style-type: none"><li>• Interim Order Cum Show Cause Notice No. WTM/AB/CFID-SEC2/30890/2024-25 dated October 21, 2024</li><li>• Final Order issued by SEBI: WTM/KV/CFID/CFID-CORD/31338/2024-25 dated March 28, 2025</li></ul>
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	<ul style="list-style-type: none"><li>• Regulations 3(b), 3(c), 3(d), 4(1), 4(2)(e),(f),(k) and (r) read with 2(1)(b) and (c) of PFUTP</li><li>• Section 12A (a), 12A(b) and 12A(c) of the SEBI Act, 1992</li><li>• Regulation 4(1)(a), (b), (c), (d), (e), (g), (h), (i), (j), 4(2)(e)(i), 33(1)(c), 34(3) read with Part A of Schedule V and 48 of LODR Regulations.</li><li>• Regulation 23(2), 23(4), 23(9) of LODR Regulations</li></ul>
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Impact to the extent of fine imposed as mentioned above.